

07-29-04
PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

21874 7590 06/07/2004

EDWARDS & ANGELL, LLP
P.O. BOX 55874
BOSTON, MA 02205

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Kathryn A. Grindrod	(Depositor's name)
<i>Kathryn A. Grindrod</i>	(Signature)
July 27, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/768,725	01/24/2001	Yoshihiro Izumi	55565(820)	1222

TITLE OF INVENTION: ACTIVE MATRIX SUBSTRATE, METHOD FOR FABRICATING THE SUBSTRATE AND LIQUID CRYSTAL DISPLAY DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	09/07/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, DUNG T	2871	349-043000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 **David G. Conlin**
- 2 **David A. Tucker**
- 3 **Edwards & Angell, LLP**

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

SHARP KABUSHIKI KAISHA

OSAKA

JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☒ Advance Order - # of Copies **10**

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed. **\$1,660.00**
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number **04-1105** (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) *David A. Tucker* (Date) **July 27, 2004**
David A. Tucker (Reg. No. 27,840)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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07/30/2004 DENMANU2 00000005 09768725

01 FC:1501	1330.00 OP
02 FC:1504	300.00 OP
03 FC:8001	30.00 OP

TRANSMIT THIS FORM WITH FEE(S)



Attorney's Docket No. 55565 (70820)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Y. Izumi, et al.
Application No.: 09/768,725 Group: 2871
Filed: January 24, 2001 Examiner: Nguyen, Dung T.
For: ACTIVE MATRIX SUBSTRATE, METHOD FOR FABRICATING THE
SUBSTRATE AND LIQUID CRYSTAL DISPLAY DEVICE

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CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10

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on July 27, 2004



Kathryn A. Grindrod



Practitioner's Docket No. 55565 (70820)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Y. Izumi, et al.
Application No.: 09/768,725 Group No.: 2871
Filed: January 24, 2001 Examiner: Nguyen, Dung T.
For: ACTIVE MATRIX SUBSTRATE, METHOD FOR FABRICATING THE
SUBSTRATE AND LIQUID CRYSTAL DISPLAY DEVICE

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TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. SECTION 1.311)

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.
2. Fee (37 C.F.R. section 1.18(a) and (b)):

Application status is:	<u>Regular</u>	<u>Design</u>
<input type="checkbox"/> small business entity fee	<input type="checkbox"/> \$ 665.00	<input type="checkbox"/> \$240.00

CERTIFICATE OF EXPRESS MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

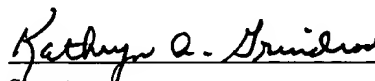
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Signature

Kathryn A. Grindrod
(type or print name of person certifying)

Date: July 27, 2004

☐ statement attached

☐ statement filed on _____

☒ other than a small entity fee ☒ \$1,330.00 ☐ \$240.00

3. Publication fee ☒ \$ 300.00

4. Advanced order of soft copies of patent fee ☒ \$ 30.00

Total Fee Enclosed: \$1,660.00

5. Payment of fee:

☒ Enclosed please find check for \$1,660.00.

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☐ Charge Account _____ the sum of \$_____.

A duplicate of this request is attached.

Date: July 27, 2004

Reg. No. 27,840

Tel. No.: (617) 517-5508

Customer No.: 21874

David A. Tucker
SIGNATURE OF PRACTITIONER

David A. Tucker
(type or print name of practitioner)
Attorney for Applicant(s)

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